



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN THE APPLICATION OF : Sergey A. Korenev et al.  
FOR : METHOD OF FORMING MICROPOROUS  
MEMBRANES  
  
SERIAL NO. : 10/633,201  
FILED : July 29, 2003  
EXAMINER : Unknown  
ART UNIT : Unknown  
ATTORNEY DOCKET NO. : ST8462US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement has been filed pursuant to the waiver issued by the U.S. Patent and Trademark Office on July 11, 2003, wherein the Office waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting copies of each cited U.S. patent and each U.S. patent application publication, for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have *entered the national stage* under 35 USC § 371 after June 30, 2003.

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached form PTO-1449. The required copy of each document is enclosed *except for* documents previously cited by or submitted to the Office in a prior application(s) relied upon for an earlier filing date under 35 U.S.C. 120, said prior application(s) identified below:

**Serial No.**

**Filing Date**

2. Pursuant to 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

3. Pursuant to 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

4. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- ☐ Copy of each English language version of a search report by a foreign patent office in a counterpart foreign application, indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
  - ☐ English-language abstract.
- (X) 5. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:
- ☐ concurrently with the filing of the national application; or
  - (X) within 3 months of the filing date of a national application; or
  - ☐ within 3 months of the date of the entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
  - ☐ before the mailing date of a first Office Action on the merits.

{NOTE: Statements filed within the foregoing periods require neither a fee nor a Certification}

- ☐ 6. Pursuant to 37 C.F.R. 1.97(c) this Statement is being filed *after* the mailing date of a first Office Action on the merits but *before* the mailing date of either: a Final Office Action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first. Accordingly, this Statement is accompanied by:
  - ☐ Certification as specified in 37 C.F.R. 1.97(e); OR
  - ☐ The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
- ☐ 7. Pursuant to 37 C.F.R. 1.97(d) this Statement is being filed *after* the period specified in 37 C.F.R. 1.97(c), but *before or concurrent with* payment of the Issue Fee. Accordingly, this Statement is accompanied by:
  - A. Certification as specified in 37 C.F.R. 1.97(e); AND
  - B. The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee

set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

- () 8. Statement filed *after* payment of Issue Fee, but *before* patent grant:

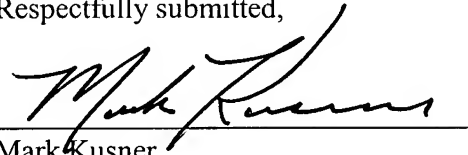
This Statement is being filed *after* payment of the Issue Fee, but *before* grant of the patent; accordingly it is understood that this Statement will *not* be considered. However, it is respectfully requested that this Information Disclosure Statement be placed in the application file. {NOTE: no fees or certification required}

- () 9. CERTIFICATION under 37 C.F.R. 1.97 (e) (if applicable):

- () The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Information Disclosure Statement, OR
- () The undersigned hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fees required for consideration of this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0537, identifying our Docket No. ST8462US.

Respectfully submitted,



Mark Kusner

Registration No. 31,115

Date: October 8, 2003

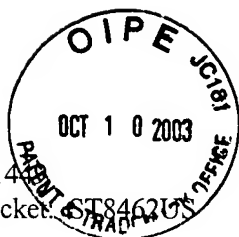
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 8, 2003

  
Christine Goellner



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE CITATION**

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**Commissioner for Patents**

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**Alexandria, VA 22313-1450**

**U.S. Patent Documents**

<b>Examiner Initials</b>	<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>
_____	3,713,921	Jan. 30, 1973	Fleischer et al.	156/2
_____	3,770,532	Nov. 6, 1973	Bean et al.	156/7
_____	3,802,972	Apr. 9, 1974	Fleischer et al.	156/7
_____	4,196,070	Apr. 1, 1980	Chao et al.	204/266
_____	4,764,485	Aug. 16, 1988	Loughran et al.	437/225
_____	4,832,997	May 23, 1989	Balanzat et al.	428/131
_____	4,956,219	Sept. 11, 1990	Legras et al.	428/137
_____	5,066,565	Nov. 19, 1991	Martinez et al.	430/296
_____	5,234,538	Aug. 10, 1993	Lück	156/644
_____	5,555,549	Sept. 10, 1996	Nakaishi	C08J 7/00
_____	5,914,150	June 22, 1999	Porter et al.	427/77
_____	6,120,875	Sept. 19, 2000	Haumont et al.	428/131
_____	6,258,271	July 10, 2001	Jitariouk et al.	210/500.23
_____	6,327,339	Dec. 4, 2001	Chung et al.	378/121
_____	6,340,718	Jan. 22, 2002	Korenev et al.	522/156

**Other Prior Art (Inc. Author, Title, Date, Pertinent Pages, Etc.)**

**Examiner  
Initials**

**Document**

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\_\_\_\_\_ Dale W. Schaefer, MRS Bulletin, article entitled: "*Engineered Porous Materials*," April 1994, pages 14-17.

\_\_\_\_\_ Osmonics, Inc., article entitled: "*Basic Principles of Microfiltration*," page 322 (5 pages), from website [www.osmo.com/products/page322.htm](http://www.osmo.com/products/page322.htm) dated August 19, 2002.

\_\_\_\_\_ Reimar Spohr, article entitled: "*Ion Track Technology: From Responsive to Flipping Membranes*," outline of Consultant's Meeting in Takasaki, Japan, May 17-20, 1999; 7 pages taken from website [www-wnt.gsi.de/mr/iaea%201999%20report.htm](http://www-wnt.gsi.de/mr/iaea%201999%20report.htm), dated August 19, 2002.

Examiner:

Date Considered:

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**\*\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.